



FEE SCHEDULE FOR PRIVATE BANKING SERVICE

私人銀行服務收費表

Item 項目	Service charges 收費基準	Minimum charge 最低收費	Maximum charge 最高收費
(A) REMITTANCES 匯款			
Inward remittance 匯入匯款	Waived 豁免	-	-
Outward remittance 匯出匯款	HKD 220 / USD 28 (exclusive of agent/correspondent bank charges) 港幣 220 / 美元 28 (不包括中轉銀行及/或收款銀行手續費)	-	-
(B) TRANSFER OF SECURITIES 證券資產提取和轉移			
Securities Withdrawal Fee 證券轉出費	HKD 500 / USD 64 equivalent per position, plus any third-party charges 每部位收取等值港幣 500 / 美元 64，外加協力廠商費		
Securities Deposit Fee 證券存入費	Waived 豁免	-	-
Internal Transfer Fee 內部轉移費	Waived 豁免	-	-
(C) ACCOUNT SERVICES 帳戶服務			
Account Maintenance Fee* 帳戶管理費* *Exclude Suspended or Dormant account *不包括暫停戶或靜止戶	HKD 15,000 / USD 2,000 per annual 每年港幣 15,000 / 美元 2,000	-	-
Balance/bank related reference letter 餘額/銀行相關證明書	HKD 200 / USD 25 per copy 每份港幣 200 / 美元 25	-	-
Auditor confirmation 銀行簽發會計師函證	HKD 200 / USD 25 per copy 每份港幣 200 / 美元 25	-	-
(D) TIME DEPOSIT 定期存款			
Service charge for early termination of time deposit 提前終止定存手續費	Collected based on Hong Kong Branch's "Time Deposit Early Termination/ Modification Application Form" calculation method or please contact your Relationship Manager for additional information 依香港分行“定期存款提前終止/修改指示申請書”之計算方式收取或請聯繫您的客戶經理查詢	HKD 港幣 220 / USD 美元 28	-



FEE SCHEDULE FOR PRIVATE BANKING SERVICE

私人銀行服務收費表

Item 項目	Service charges 收費基準	Minimum charge 最低收費	Maximum charge 最高收費
(E) LISTED SECURITIES COMMISSIONS 股票佣金			
Hong Kong Market (listed on HKEX) 香港市場(港交所掛牌股票)	0.50% of the transaction amount 成交金額的 0.50%	HKD 港幣 100	-
Shanghai/Shenzhen-Hong Kong Stock Connect stocks (listed on HKEX) 滬港通/深港通股票(港交所掛牌股票)	0.50% of the transaction amount 成交金額的 0.50%	RMB 人民幣 100	-
Taiwan Market 台灣市場 US Market 美國市場 Japan Market 日本市場 Australia Market 澳洲市場 Germany Market 德國市場 France Market 法國市場 UK Market 英國市場 All other Markets 其他市場	1.00% of the transaction amount 交易金額的 1.00%	TWD 台幣 1,000 (Taiwanese stocks 台股) USD 美元 50 (US stocks 美股) JPY 日元 4,000 (Japan stocks 日股) AUD 澳元 65 (Australia stocks 澳股) EUR 歐元 65 (Germany and France stocks 法國及德國股票) GBP 英鎊 65 (UK stocks 英股) Equivalent 等值 USD 美元 65 (All other stocks 其他股票)	-
Charges imposed by various stock exchanges or other service providers are payable by the client and are subject to change without prior notice, please refer to the exchange announcement. Such charges will be disclosed to you on a pre-trade basis (if the information is available) and in the trade confirmation. 各股票交易所收取的費用由客戶承擔，費用如有變化將不會事前通知，以交易所公告為準。收取之費用(如可知悉該資訊)將於交易後之交易確認書中揭露。			
(F) Hong Kong Initial Public Offer 港股新股			
Hong Kong IPO subscription fee 港股新股認購費用	Brokerage: 1% and Subscription handling fee: HKD 120 per application 佣金成交金額的 1.00% 及認購處理費: 每次申請港幣 120	-	-
(G) FIXED INCOME (Including but not limited to Bond, Note and Certificate of Deposit) 固定收益產品 (包括但不限於債券、票據及存款證)			
Spread 價差	0.3%-3.0% of the transaction amount 成交金額之 0.3%-3.0%	-	-
(H) STRUCTURED NOTES (OVER THE COUNTER) 結構性票據			
Commission 佣金	0.10%-5.0% of the face value of the transaction 交易面額的 0.10%-5.0%	-	-



FEE SCHEDULE FOR PRIVATE BANKING SERVICE

私人銀行服務收費表

Item 項目	Service charges 收費基準	Minimum charge 最低收費	Maximum charge 最高收費
(I) STRUCTURED DEPOSITS 結構性存款			
Spread 價差	0.10%-5.0% of the face value of the transaction 交易面額之 0.1%-5.0%	-	-
(J) INVESTMENT FUNDS 基金			
Subscription/Redemption/Management fees 申購、贖回及管理費	Charge based on the suggested rules prescribed in the fund overview or related documents of each fund house 按基金公司於基金概覽或相關文件中之建議規定辦理	-	-
Fund switching charge 轉換手續費	Charge based on the suggested rules prescribed in the fund overview or related documents of each fund house 按基金公司於基金概覽或相關文件中之建議規定辦理	-	-
(K) FOREIGN EXCHANGE PRODUCTS 外匯類產品			
FX Spot / Forward 外匯即期/遠期	Spread up to 2.0% of the notional amount 價差最高為面額之 2.0%	-	-
FX Non-Deliverable Forward 外匯無本金交割遠期	Spread up to 2.0% of the notional amount 價差最高為面額之 2.0%	-	-
(L) NOMINEE SERVICES AND DIVIDEND COLLECTION FEE FOR CORPORATE ACTIONS 代理人服務及企業行動代收股息費			
Hong Kong Market (listed on HKEX) 香港市場(港交所掛牌股票) Shanghai/Shenzhen-Hong Kong Stock Connect stocks (listed on HKEX) 滬港通/深港通股票 (港交所掛牌股票)	0.6% of dividend amount 股息金額之 0.6%	港幣 HKD 30	港幣 HKD 12,000
Taiwan Market 台灣市場 US Market 美國市場 Japan Market 日本市場 Australia Market 澳洲市場 Germany Market 德國市場 France Market 法國市場 UK Market 英國市場 All other Markets 其他市場	0.12% of dividend amount 股息金額之 0.12%	-	-



FEE SCHEDULE FOR PRIVATE BANKING SERVICE

私人銀行服務收費表

Item 項目	Service charges 收費基準	Minimum charge 最低收費	Maximum charge 最高收費
(M) SECURITIES CUSTODY FEE 證券保管費			
Securities Custody Fee 證券保管費	0.12% of the value of securities assets at average daily position value per product and be charged each month 每日證券資產金額之 0.12%，每月收取一次	-	-

Important Notes:

重要聲明：

The custody fee is calculated based on the average daily position value per product and collected in USD on a monthly basis. 保管費之計算以每日保管有價證券之日平均總價值，按月以美元收取。

Fees and charges are subject to change as may be stipulated from time to time. Any fees or charges not listed herein will be notified to you prior to the relevant transaction or upon your request. Should you be eligible to benefit from a discount on the above fees and charges, our staff will inform you timely.

收費與費用可能會變化和調整。任何未於此列出的收費與費用，將於您進行交易前或在您詢問時告知您。如果您符合我們減免費用的資格，我們將會適時通知。

Should there be any changes to the charges by third parties (including but not limit to the Government of Hong Kong Special Administrative Region / Hong Kong Exchange and Clearing Ltd / Securities and Futures Commission / Financial Reporting Council / Shanghai Stock Exchange / Shenzhen Stock Exchange / China Securities Regulatory Commission / China Securities Depository and Clearing Corporation Limited / U.S. Securities and Exchange Commission), no prior notice might be given by the Bank on these changes. Cathay United Bank Hong Kong Branch has the right to adjust the fee schedule, special offers, and other services from time to time. All charges will be based on the fee schedule posted on the website of related Exchange Market.

由第三者(包括但不限於香港特別行政區政府/香港交易所/證監會/財務匯報局/上海證券交易所/深圳證券交易所/中國證券監督管理委員會/中國證券登記結算有限公司/美國證交會)所釐定之收費若有任何更改，本行恕不另行通知。國泰世華銀行香港分行有權隨時調整任何收費、優惠及其他服務，所有收費以相關交易所網站公佈為準。

Should you have any questions concerning the above fees and charges, please contact your Relationship Manager for additional information.

如果您對以上收費與費用有任何疑問，請聯繫您的客戶經理。